

Heisenberg Uncertainty Principle:

Consider two Hermitian operators  $S, \Delta$  with the following commutation relation:

$$[S, \Delta] = iP$$

Here  $P$  is a Hermitian operator too ( $iP$  is therefore anti-Hermitian, as required from the commutation of two Hermitian operators).

Now:

$$\langle \Delta S \rangle^2 \langle \Delta \Delta \rangle^2 = \langle \Psi | (S - \langle S \rangle)^2 | \Psi \rangle \langle \Psi | (\Delta - \langle \Delta \rangle)^2 | \Psi \rangle$$

Applying the Schwarz inequality, we find:

$$\langle \Psi | (S - \langle S \rangle)^2 | \Psi \rangle \langle \Psi | (\Delta - \langle \Delta \rangle)^2 | \Psi \rangle \geq \langle \hat{S} | \hat{\Delta} \rangle^2$$

where:

$$\hat{S} \equiv S - \langle S \rangle, \quad \hat{\Delta} \equiv \Delta - \langle \Delta \rangle$$

Thus:

$$(\Delta S)^2 (\Delta A)^2 \geq |\langle \Psi | \hat{S} \hat{A} | \Psi \rangle|^2$$

But:

$$\hat{S} \hat{A} = \frac{1}{2} [\hat{S}, \hat{A}] + \frac{1}{2} [\hat{S}, \hat{A}]_+$$

where  $[\hat{S}, \hat{A}]_+ \equiv \hat{S} \hat{A} + \hat{A} \hat{S}$  is the anti-commutator of  $\hat{S}$  and  $\hat{A}$ . This results in:

$$(\Delta S)^2 (\Delta A)^2 \geq |\langle \Psi | \frac{1}{2} [\hat{S}, \hat{A}] + \frac{1}{2} [\hat{S}, \hat{A}]_+ | \Psi \rangle|^2$$

Note that  $[\hat{S}, \hat{A}]$  is an anti-Hermitian operator, while  $[\hat{S}, \hat{A}]_+$  is Hermitian. This implies that

$\langle [\hat{S}, \hat{A}] \rangle$  is an imaginary number, while  $\langle [\hat{S}, \hat{A}]_+ \rangle$

is real. In consequence:

$$\left| \langle \frac{1}{2} [\hat{S}, \hat{A}] + \frac{1}{2} [\hat{S}, \hat{A}]_+ \rangle \right|^2 = \frac{1}{4} \langle \Psi | [\hat{S}, \hat{A}]_+ | \Psi \rangle^2$$

$$+ \frac{1}{4} \langle \Psi | \Gamma | \Psi \rangle^2$$

$$\hookrightarrow [\hat{S}, \hat{A}] = [\hat{S}, \hat{A}] = \Gamma$$

$$\Rightarrow (\Delta S)^2 (\Delta A)^2 \geq \frac{1}{4} \langle \Psi | \Gamma | \Psi \rangle^2 + \frac{1}{4} \langle \Psi | [\hat{S}, \hat{A}]_+ | \Psi \rangle^2$$

For example, consider the position and momentum operators  $X, P$ . In this case  $P = \hbar k$ , hence:

$$(\Delta X)^2 (\Delta P)^2 \geq \frac{\hbar^2}{4} + \frac{1}{4} \langle \psi | [X, P] + [X, P] | \psi \rangle^2 \Rightarrow$$

$$(\Delta X)^2 (\Delta P)^2 \geq \frac{\hbar^2}{4} \Rightarrow \Delta X \Delta P \geq \frac{\hbar}{2}$$

This is the famous Heisenberg uncertainty principle.

In three dimensions, we have the additional relations:

$$\Delta Y \Delta P_y \geq \frac{\hbar}{2}, \quad \Delta Z \Delta P_z \geq \frac{\hbar}{2}$$

The minimum uncertainty is obtained if  $\langle [\hat{A}, \hat{B}] \rangle = 0$ .

In the case of  $X, P$  this requires that:

$$(P - \langle P \rangle)(X - \langle X \rangle)|\psi\rangle = -(X - \langle X \rangle)(P - \langle P \rangle)|\psi\rangle$$

This will be the case if:

$$(P - \langle P \rangle)|\psi\rangle = c(X - \langle X \rangle)|\psi\rangle$$

where  $c$  is a constant.

In the position eigenbasis this results in the

following differential equation,

$$-i\hbar \frac{d}{dx} \Psi(x) = [c(x-x_0) + \beta_0] \Psi(x)$$

where  $x_0 \equiv \langle X \rangle$  and  $\beta_0 \equiv \langle P \rangle$ . Thus:

$$\Psi(x) = \Psi(x_0) e^{\frac{i\beta_0 x}{\hbar}} e^{ic \frac{(x-x_0)^2}{2\hbar}}$$

Now, requiring that  $\langle [(X-x_0), (P-\beta_0)]_+ \rangle = 0$ , we

find:

$$(c+c^*) \langle \Psi | (X-x_0)^2 | \Psi \rangle \Rightarrow c = i|c|$$

$\downarrow$   
 $c$  an imaginary number

Finally, we have:

$$\Psi(x) = \Psi(x_0) e^{\frac{i\beta_0 x}{\hbar}} e^{-|c| \frac{(x-x_0)^2}{2\hbar}}$$

This is nothing but a Gaussian wavepacket. After

defining  $\Delta = \frac{\hbar}{|c|}$ , we get:

$$\Psi(x) = \Psi(x_0) e^{\frac{i\beta_0 x}{\hbar}} e^{-\frac{(x-x_0)^2}{2\Delta^2}}$$

$$\Delta X = \Delta, \quad \Delta P = \frac{\hbar}{2\Delta}$$

We conclude that minimum uncertainty is obtained for  $q$

## Gaussian wavepacket.

### Applications:

In general one can apply the uncertainty principle to estimate energy eigenvalues of a system. As an example, consider particle in a box of length  $L$ .

In this case:

$$\langle H \rangle = \frac{\langle P^2 \rangle}{2m}, \quad \langle P \rangle = 0$$

Hence:

$$\Delta P^2 = \langle P^2 \rangle \Rightarrow \langle H \rangle = \frac{\Delta P^2}{2m}$$

Since the particle cannot be found outside the box,

we have  $\Delta x \leq \frac{L}{2}$ . Then:

$$\Delta x \Delta P \geq \frac{\hbar}{2} \Rightarrow \Delta P \geq \frac{\hbar}{2\Delta x} \Rightarrow \Delta P \geq \frac{\hbar}{L}$$

$$\Rightarrow \Delta P^2 \geq \frac{\hbar^2}{L^2} \Rightarrow \langle H \rangle \geq \frac{\hbar^2}{2mL^2}$$

We recall that the energy eigenvalues in this case

are given by:

$$E_n = \frac{\hbar^2 \pi^2 n^2}{2mL^2} \quad n=0, 1, 2, \dots$$

Note that  $E_n > \frac{\hbar^2}{2mL^2}$ , as required by the uncertainty principle. Furthermore,  $\frac{\hbar^2}{2mL^2}$  is a reasonable estimate of the ground state energy.

It is not surprising that we only find a lower bound because the eigenstates are not Gaussian wavepackets.